

# Honeywell

Honeywell Automation India Limited  
CIN: L29299PN1984PLC017951  
Regd. Office: 56 & 57, Hadapsar Industrial  
Estate, Pune - 411 013, Maharashtra  
Tel: +91 20 6603 9400  
Fax: +91 20 6603 9800  
E-mail: India.Communications@Honeywell.com  
Website: <https://www.honeywell.com/en-us/global/en-in/hail>

October 25, 2019

To  
The Manager – Compliance Department  
National Stock Exchange of India Limited  
'Exchange Plaza' Bandra Kurla Complex,  
Bandra (East) Mumbai 400051  
NSE Symbol : HONAUT

To  
The Manager – Compliance Department  
BSE Limited  
Floor 25, P.J.Tower, Dalal Street  
Mumbai 400001  
BSE Scrip Code 517174

Dear Sir,

**Sub: Certificate under Regulation 40 (9) for the half year ended September 30, 2019**

Pursuant to Regulation 40 (10), please find enclosed the Certificate under Regulation 40 (9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended September 30, 2019.

The above is for your information and record.

Thanking You,

Yours Sincerely,

**For Honeywell Automation India Limited**



**Farah Irani  
Company Secretary**

**Encl: A/a**

**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED 30<sup>th</sup> SEPTEMBER, 2019**

The Securities and Exchange Board of India vide gazette notification dated 08<sup>th</sup> June, 2018 and its press release on 03<sup>rd</sup> December, 2018 amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out in dematerialized form only w.e.f. 01<sup>st</sup> April, 2019. Further SEBI vide its press release dated 27<sup>th</sup> March, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of 01<sup>st</sup> April, 2019.

Accordingly, we have examined Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to HONEYWELL AUTOMATION INDIA LIMITED (the Company) maintained by TSR Darashaw Consultants Private Limited, Registrar and Share Transfer Agents pertaining to transfer deeds which were lodged prior to 01<sup>st</sup> April, 2019 and re-lodged thereafter, for transfer of equity shares of the company for the period from 01<sup>st</sup> April, 2019 to 30<sup>th</sup> September, 2019 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, We hereby certify that:

A) 1.a. the Company has delivered during half year ended on 30<sup>th</sup> September, 2019 share certificates relating to the transfer deeds lodged prior to 01<sup>st</sup> April, 2019 and re-lodged thereafter, received during the period from 01<sup>st</sup> April, 2019 to 30<sup>th</sup> September, 2019, within thirty days, as entered in the Memorandum of Transfers from the respective date of lodgment of each deed excepting those rejected on technical grounds.

1.b. the Company has delivered during half year ended on 30<sup>th</sup> September, 2019 share certificates in respect of request relating to renewal received during the period from 01<sup>st</sup> April, 2019 to 30<sup>th</sup> September, 2019, within thirty days, from the respective date of lodgment excepting those rejected on technical grounds. As per the records made available to us there were no requests for sub-division (split), consolidation and exchange or endorsement of calls/allotment monies during the said period.

B) Any other information, if applicable: ---

Place: Mumbai  
Date: October 10, 2019



For Parikh & Associates

A handwritten signature in black ink, appearing to be "P. N. Parikh", written over a horizontal line.

P. N. Parikh  
FCS: 327 CP: 1228  
UDIN: FC00327A000041203